

To: Sundaram Mutual-Marketing
Subject: RE: ARN-37958 Brokerage - Global Advantage Fund (Nov 14 to Dec 31)

From: Sundaram Mutual-Marketing <marketing@sundarammutual.com>
Sent: 08 November 2019 19:26
To: info@prokens.com
Subject: ARN-37958 Brokerage - Global Advantage Fund (Nov 14 to Dec 31)

ARN-37958

PROKENS OPESMETRICS PVT LTD
4805,8TH FLOOR,HIGH POINT,
IV,45,PALACE ROAD
BANGALORE 560001

Dear Partner,

Re : Sundaram Global Advantage Fund

At the outset, thank you for your active contribution to our funds.

Sundaram Global Advantage Fund - an existing Fund of Fund scheme will, effective November 21, 2019, undergo a change in its fundamental attributes* and be relaunched as Sundaram Global Brand Fund.

Sundaram Global Brand Fund will be an open-ended Fund of Fund scheme investing as a Feeder Fund of Sundaram Global Brand Fund, Singapore.

Sundaram Global Fund, Singapore has been in existence for over 4 years and the current top 10 holdings of the fund are stocks such as Apple, Amazon, McDonalds, Walt Disney, Coca Cola, Procter & Gamble, Microsoft Inc, IBM and Toyota Motor Corp. You can access more details of the fund, the past performance etc. in the attached note [here](#)

Sundaram Global Brand Fund is a unique opportunity for your clients to invest in International Equity Funds and indirectly own some of the leading brands of the world!

We are pleased to offer the following brokerage structure for this fund, effective November 14, 2019.

Period	ARI	Trail
Nov 14, 2019 - Dec 31, 2019	2.00	1.50

Should you have queries, please call up your Relationship Manager / nearest Branch manager.

We look forward to a continuing relationship.

Yours sincerely,

Sunil Subramaniam
Managing Director

Terms & Conditions:

1. The above brokerage structure is applicable on investments in Regular Option for the period Nov Dec 31, 2019. Existing pricing conveyed earlier will continue till November 13, 2019.
2. #Annualised Retention Incentive (ARI) refers to the quantum of additional trail payable on fresh m in B30 Cities, for one year from date the investment. In the event of redemption /switchout within from the date of investment the ARI paid to you will be completely recovered / clawed back /set any payment due to you.
3. T-30 refers to TOP 30 cities provided by AMFI / SEBI & B-30 refers to the cities beyond TOP 30 cities in AMFI / SEBI guidelines.
4. In the event premature closure of SIP / STP, additional trail on New SIP (if applicable) will be from the subsequent payouts.
5. Sundaram Asset Management Company Limited reserves right to change the brokerage / incentive prior intimation.
6. The above compensation structure is inclusive of all applicable taxes and levies (if any). The payment is subject to receipt of statutory documents and filing, within a reasonable period of time.
7. This letter supersedes any other incentive/ brokerage communication issued earlier for the above
8. AMC reserves the right to make prospective changes to the structure including trail on existing Sundaram Asset Management Ltd. reserves the right to change the Brokerage Rates / Incentive intermittent period in the event of Regulatory Changes/ Industry practices.
9. Brokerage on Lumpsum investments applies to Systematic Investments If not specifically conveyed
10. The pricing applies in Sundaram Global Advantage Fund between Nov 14, 2019 – Nov 20, 2019 and in Sundaram Global Brand Fund w.e.f Nov 21. 2019.

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